

1645  
6/11/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph ROBERTS et al.

Title: PEGYLATION OF THERAPEUTIC AGENTS

Appl. No.: 09/972,245

Filing Date: 10/09/2001

Examiner: Unassigned

Art Unit: 1645



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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.97-98 and in accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits herewith the references listed on the attached Form SB-08 to the Examiner such that they may be considered and made of record in the above-identified application. A copy of each reference is enclosed.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

If any fees are due upon the filing of this paper, the Commissioner for Patents is authorized to charge Deposit Account No. 19-0741.

Respectfully submitted,

By S. A. Bent

Date 4 June 2002

FOLEY & LARDNER  
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/972,245
Date Submitted: May 31, 2002				Filing Date	10/09/2001
(use as many sheets as necessary)				First Named Inventor	Joseph ROBERTS et al.
				Group Art Unit	1645
				Examiner Name	Unassigned
				Attorney Docket Number	078728-0104
Sheet	1	of	JUN 05 2002	RECEIVED JUN 07 2002 TECH CENTER 1000/2900	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
A1	5,122,614			ZALIPSKY	06/16/1992
A2	5,324,844			ZALIPSKY	06/28/1994
A3	5,612,460			ZALIPSKY	03/18/1997
A4	5,808,096			ZALIPSKY	09/15/1998

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	A5	ABUCHOWSKI et al.; "Alteration of Immunological Properties of Bovine Serum Albumin by Covalent Attachment of Polyethylene Glycol"; <i>The Journal of Biological Chemistry</i> ; Vol. 252, No. 11; pp. 3578-3581 (June 10, 1977)			
	A6	FRANCIS et al.; "Polyethylene Glycol Modification: Relevance of Improved Methodology to Tumour Targeting"; <i>Journal of Drug Targeting</i> ; Vol. 3; pp. 321-340 (1996)			
	A7	NUCCI et al.; "The therapeutic value of poly(ethylene glycol)-modified proteins"; <i>Advanced Drug Delivery Reviews</i> ; Vol. 6, pp. 133-151 (1990)			
	A8	ZALIPSKY; "Chemistry of polyethylene glycol conjugates with biologically active molecules"; <i>Advanced Drug Delivery Reviews</i> , Vol. 16; pp. 157-182 (1995)			

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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